**Recent CIMA® Certificants**

**The Following Individuals Recently Earned the CIMA® Certification**

George Azizmamany, Phillips Fractor and Company, Glendale, CA
Karen Ben-Shlau, UBS Financial Services, Washington, DC
Yanlin Bernal, Morgan Stanley, Miami, FL
Kristin Bedenham, Merrill Lynch, Cincinnati, OH
James Coker, Merrill Lynch, Saratoga Springs, NY
Cameron Cowden, Oppenheimer Funds, Franklin, TN
Christopher Curcuru, PIMCO Investments, Long Island City, NY
Kara Dombrowski, WisdomTree Asset Mgmt., Hoboken, NJ
Mark Donovan, Putnam Investments, Atlanta, GA
Paul Dowd, Morningstar, Inc., Omaha, NE
Charles Ebbe, Federated Investors, Humble, TX
Eric Enstrom, New York Life, Madison, WI
Robert Filletti, Robert W. Baird & Co., Greendale, WI
Nolin Fries, John Hancock Investments, Boston, MA
Alexander Garby, WisdomTree Asset Mgmt., New York, NY
Rodolfo Gomez Lora, McAllen, TX
Kelly Green, Graystone Consulting, Detroit, MI
Jonathan Guzman, UBS Financial Services, Plainview, NY
Robert Ham, Etrata, Burbank, CA
William Harris, Oppenheimer Funds, Centennial, CO
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Alex Hare, Dow Jones, New York, NY
Joshua Helms, TIAA, Charlotte, NC
Bethany Huffman, HBK Wealth Mgmt., Cincinnati, OH
Steven Jakubowski, PIMCO, Franklin, TN
Jon Johnson, Signal Securities, Rapid City, SD
Chris Johnson, Principal Financial Group, Des Moines, IA
Steven Kennedy, Albany Financial Group, Albany, NY
Christopher Kokzer, Newport Group, Heathrow, FL
Matthew Konecky, PIMCO, East Rutherford, NJ
Jonathan Leis, Citi Personal Wealth Mgmt., Smithtown, NY

**Harbour Honored by the CFA Institute**

Congratulations to Mark Harbour on being named the 2017 recipient of the CFA Institute’s Leadership in Professional Ethics and Standards of Investment Award, in honor of Daniel J. Forrestal III. Harbour is the Investments & Wealth Monitor ethics editor.

The award honors a CFA Institute member who has championed the pursuit of excellence in professional ethics and standards of practice and who has provided outstanding leadership in advocating the integrity and competence of our profession. It was established to honor Daniel J. Forrestal III, CFA®, an outstanding leader of the CFA Institute and a tireless champion of professional competence and ethics.

CFA Institute noted that Harbour’s “diligent and extensive service in promoting ethical conduct championed by CFA Institute throughout the financial services industry” made him “the perfect recipient for this award.” CFA Institute also acknowledged Harbour’s leadership within the industry, commitment to CFA advocacy efforts, and his service as a past president and board member of CFA Society Los Angeles and current board member of the Advocacy and Ethics Committee for CFA Society Los Angeles.

**Educational Programs Calendar**

**Live Events**

**November 13, 2017**
Focus Series: Focus on Fiduciary
Washington, DC

**December 5, 2017**
Focus Series: Focus on Global Macroeconomics
Dallas, TX

**January 16–17, 2018**
Investment Advisor Forum
New York, NY

**May 6–9, 2018**
Investments & Wealth Institute 2018 Annual Conference Experience—ACE
Nashville, TN

**October 29–30, 2018**
Wealth Advisor Forum
Scottsdale, AZ

**CIMA Classes**

**January 8–12, 2018**
The Wharton School, Philadelphia

**April 2–6, 2018**
The Wharton School, Philadelphia

**April 16–21, 2018**
The University of Chicago Booth School of Business

**June 18–22, 2018**
The Wharton School, Philadelphia

**October 8–13, 2018**
The University of Chicago Booth School of Business

Yale School of Management online program,
contact jim@dobbeducation.com
(ongoing enrollment)

**CPWA Classes**

(In-Class Executive Education)

**March 18–23, 2018**
The University of Chicago Booth School of Business

**June 3–8, 2018**
Investments & Wealth Institute-Sponsored Hilton Chicago
Oak Brook Hills Resort and Conference Center

**September 23–28, 2018**
The University of Chicago Booth School of Business

**CIMA Certification Exam Testing Months**

February, May, August, November