INVESTMENTS & WEALTH INSTITUTE®

SCHOLARSHIP APPLICATION

Investments & Wealth Institute provides partial scholarship funding. Scholarship amounts will not cover the full cost of the certification program.

☐ Mr. ☐ Mrs. ☐ Ms. ☐ Miss

Primary Mailing Address: ☐ Business ☐ Home

Last Name: ____________ First Name: ____________ Middle Initial: ____

Title: ____________ Business Name: ____________

Business Address: ____________

City/State or Province: ____________ Zip/Postal Code: ____________ Country: ____________

Business Phone: ____________ Cell Phone: ____________

Business Fax: ____________ Business E-mail: ____________

Home Address: ____________

City/State or Province: ____________ Zip/Postal Code: ____________ Country: ____________

Home Phone: ____________ Personal E-mail: ____________

DEMOGRAPHICS (required)

How did you hear about this scholarship program?
- ☐ Advertisement
- ☐ E-mail Promotion
- ☐ Personal Referral
- ☐ Attended Workshop, Conference or On-Demand event (please specify)

____

Current number of clients you are advising: ________

Your firm affiliation:
- ☐ National Wire House
- ☐ Regional Broker Dealer
- ☐ Registered Investment Advisor
- ☐ Bank/Trust
- ☐ National/Regional Independent
- ☐ Investment Management Wholesaler
- ☐ Industry Service Provider
- ☐ Other

Years of experience in finance:
- ☐ 1—2 years
- ☐ 3—4 years
- ☐ 5—10 years
- ☐ 11 + years

Year of birth: ________

Gender:
- ☐ Male
- ☐ Female
- ☐ Non-binary

Do you qualify for disability?
- ☐ Yes
- ☐ No

Do you qualify as a veteran?
- ☐ Yes
- ☐ No

Ethnicity:
- ☐ American Indian or Alaskan Native
- ☐ Asian
- ☐ Black or African American
- ☐ Hispanic or Latino
- ☐ Native Hawaiian or Pacific Islander
- ☐ Middle Eastern
- ☐ White (non Hispanic)
- ☐ Other

Investments & Wealth Institute does not discriminate on account of race, color, religion, national origin, citizenship status, ancestry, age, sex (including sexual harassment), sexual orientation, marital status, physical or mental disability, military status or unfavorable discharge from military service.

WHICH SCHOLARSHIP FUND ARE YOU APPLYING FOR (required)

- ☐ IWI Diversity
- ☐ Female Advisor (Women in Wealth)
- ☐ RIA Center for Excellence
- ☐ Advisor in Transition (Request Only)

Are you seeking financial reimbursement from your firm?
☐ Yes ☐ No

If so, how much? $____________

Would you like to be considered for a feature/recipient profile?
☐ Yes ☐ No

DESIGNATION SELECTION (required)

Which designation are you seeking? (please select only one)
- ☐ Certified Investment Management Analyst® (CIMA®)
- ☐ Certified Private Wealth Advisor® (CPWA®)
- ☐ Retirement Management Advisor® (RMA®)

Please indicate the education provider & class date you are interested in: ____________

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ACKNOWLEDGMENTS

All applicants must have at least three years' experience in financial services, meet the qualification requirements of the certification program for which they are applying and demonstrate professional, volunteer, or civic/community leadership experience and be committed to fully engage in all scholarship benefits. To learn more about the certification programs visit www.investmentsandwealth.org/scholarships.

To qualify as a scholarship applicant, you must acknowledge by initialing the following statements:

I have reviewed the CIMA®, CPWA®, RMA® designation pre-requisites, and to the best of my knowledge meet all the qualifications _____
I have at least three years' of experience (five years for CPWA applicants) _______
I understand the allocation of scholarship funds is subject to my background check _______
I understand the allocation of schoalrship funds is sbject to acceptance into the CIMA®, CPWA®, RMA® program _____

If scholarship funds are awarded:
Investments & Wealth Insistute provides partial scholarship funding only, I agree to pay all remaining applicable program fees_____
I understand that if I am accepted I must apply for the CIMA®, CPWA®, RMA® program within 6 months of scholarship acceptance___
I will maintain my membership status with Investments & Wealth Institute for 3 years_____

QUALIFYING QUESTION

Describe why achieving an advanced certification is important to you? (Include any professional achievement and community service.)

Disclaimers:
• Qualified individuals who can demonstrate financial need and/or are from underrepresented populations related to gender, race, ethnicity, age, veteran, or disability status may receive scholarship assistance underwriting the costs of CIMA, CPWA and RMA certification. Scholarships may aid in offsetting the cost of the certification program but will not offset the full cost of the program.
• To be eligible for the RIA Center of Excellence scholarship an individual must be associated with an independent Registered Investment Advisor (either as an employee or independent contractor) and who's educational and training efforts are not being supported by a firm.
• For a limited time: to be eligible for the Advisor in Transition scholarship an individual must demonstrate financial hardship as a result of employment status change from employed to unemployed in 2020 and/or whose health has been affected by COVID-19.
• Application for a scholarship does not guarantee that a scholarship award will be granted or that the applicant will be accepted into the certification program.
• For CIMA candidates registering for Chicago Booth, Wharton or Yale CIMA programs—scholarship recipients must apply online to their school of choice first then be reimbursed by Investments & Wealth Institute upon class registration confirmed with the school.
• Scholarship funds are not eligible to be used for private class offerings, transfer/cancellation fees, retake fees, or initial certification fees.
• Scholarships will be awarded as funding allows, and all scholarship decisions made by Investments & Wealth Institute are final. All scholarships are limited in availability. Award amounts may vary.

Submission:

E-mail—Complete this form electronically and submit to scholarships@i-w.org using the "Submit" button to the right or save the pdf file and attach to an email submission.

Mail—Mail your completed scholarship form to: Investments & Wealth Institute, 5619 DTC Parkway, Suite 500, Greenwood Village, CO 80111.

Fax—Fax your completed scholarship form to Investments & Wealth Institute at +1 303-770-1812.

SUBMIT